

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):

VOGELSANG ET AL.

Application No.:

10/527,262

For:

DRIVE UNIT COMPRISING A RETARDER

Filed:

October 4, 2005

Adjustment date: 01/05/2009 CKHLOK 08/22/2008 MGEBREM1 00000001 010467 01 FC:1453 1540.00 CR

10527262

Examiner

Thomas J. Williams

Art Unit:

3683

Confirmation No.:

2929

Customer No.:

27,623

Attorney Docket: WW030USU

Mail Stop Petitions COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, VA 22313-1450

Attention: Office of Petitions

PETITION FOR REVIVAL OF PATENT APPLICATION **ABANDONED UNINTENTIONALLY UNDER 37 CFR 1.137(b)**

Dear Sir:

The above-identified application became abandoned for failure to timely file a proper reply to the Office Action mailed on September 4, 2007 which sets a six (6) month period for reply (including a total extension of time of 3 months) which expired on March 4, 2008. The abandonment date of this application is May 8, 2008.

APPLICANT HEREBY PETITIONS FOR REVIVAL OF THIS APPLICATION ABANDONED UNINTENTIONALLY UNDER 37 CFR 1.137(b)

NOTE:

A grantable petition requires the following items:

- Petition fee; (1)
- (2) Reply and/or Issue Fee;
- (3)Terminal disclaimer with disclaimer fee - required for all utility and plant applications filed before June 8, 1995, and for all design applications; and
- (4) Statement that the entire delay was unintentional.

1. Petition fee							
Small entity - fee \$770.00 (37 CFR §1.17(m)) Small entity statement enclosed herewith. Small entity statement previously filed.							
X Other than small entity - fee \$1,540.00 (37 C.F.R. §1.17(m))							
Reply and/or Fee							
A. The reply to the above-noted Office Action in the form of an Amendment (identify type of reply): XXX has been filed previously on December 4, 2007 (copy enclosed). are enclosed herewith.							
B. A firm check for \$ covering the following fees:							
(1) \$ Issue Fee (2) \$ for 10 advance copies of the patent; extension fee (3) \$1,540.00 petition fee.							
has been paid previously on is enclosed herewith.							
Terminal disclaimer with disclaimer fee							
Since this utility/plant application was filed on or after June 8, 1995, no terminal disclaimer is required.							
A terminal disclaimer (and disclaimer fee (37 CFR 1.20(d) of \$ for a small entity or \$ for other than a small entity) equivalent to the number of months from abandonment to the filing of this petition is enclosed herewith.							
4. Verified Statement							

Applicant hereby states that the entire delay in filing a reply to the Office Action dated September 4, 2007 until the filing of this petition under 37 CFR 1.137(b) was unintentional.

We received an Office Action dated September 4, 2007. On December 4, 2007, we filed an Amendment (with transmittal). The Amendment had the correct application number (10/527,262) on the first page, but the transmittal had the incorrect application number (10/257,262) listed on the first page. The return receipt postcard also had the correct application number (10/527,262) on it. The Amendment, transmittal (with corrected cover page) and return receipt postcard (stamped as received by the USPTO) are enclosed.

On December 5, 2007, the paralegal, Suzanne Lombardo realized what had happened and contacted the Examiner (Thomas Williams) to discuss the situation. She spoke to the Examiner and he (the Examiner) stated that it would not be a problem because we responded in time and the correct application number was on the Amendment itself but he would check with his supervisor anyway and call back if there

would be a problem. The Examiner never called back, so we assumed that there were no problems.

Then, we received a Notice of Abandonment (dated May 8, 2008). On May 16, 2008, the paralegal, Suzanne Lombardo called the Examiner (Thomas Williams) to find out why a Notice of Abandonment was issued in this application. The Examiner stated that he remembered speaking to the paralegal on December 5, 2007 and is not sure why this application went abandoned. He also said that a Petition must be submitted to revive this application.

We do not believe that we should be charged the Petition fee of \$1540.00 due to the fact that the Examiner was contacted as soon as the problem was discovered (December 5, 2007) and he (the Examiner) stated that it would not be a problem. The Examiner should have handled the problem on December 5, 2007. If that was the case, the Notice of Abandonment would have never been issued.

Applicant hereby declares that all statements made herewith of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both under section 1001 of Title 18 of the United States Code and that such willful false statement may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

<u>XXX</u> The Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. §§1.16 and 1.17 which may be required with this communication or credit any overpayment, to **Deposit Account No. 01-0467**. A duplicate copy of this Form is enclosed.

August 20, 2008 Date

Charles N.J. Ruggiero Attorney for Applicant(s) Registration No. 28,468

Ohlandt, Greeley, Ruggiero & Perle, L.L.P.

One Landmark Square, 10th Floor Stamford, Connecticut 06901-2682

Telephone: (203) 327-4500 Telefax: (203) 327-6401

CERTIFICATE OF EXPRESS MAILING

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" Certificate No. **EV774339413US**, service under 37 CFR §1.10 and is addressed to: Mail Stop Petitions, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, Attention: Office of Petitions on August 20, 2008.

Suzanne Lombardo

(Typed name of person mailing paper)

(Signature of person mailing paper)

UNITED STATES PATENT & TRADEMARK OFFICE Washington, D.C. 20231

REQUEST FOR PATENT FEE REFUND									
1 Date of Request: 01/02/09 2 Seria			al/Pa	tent	# 10/527262				
3 Please refund the following fee(s):		4 PAP NUM	ER BER	5	DATE FILED	6	AMOUNT		
Filing							\$		
	Amendment						\$		
	Extension of Time						\$		
	Notice of Appeal/Appeal						\$		
Х	χ Petition			W	80	08/20/08 \$ 1,540.00			
	Issue						\$		
	Cert of Correction/Terminal Disc.						\$		
	Maintenance						\$		
	Assignment						\$		
	Other							\$	
			7 TOTAL AMOUNT OF REFUND			_{\$} 1	,540.00		
***************************************			8 TO BE REFUNDED BY:						
10 REASON:				T	Treasury Check				
	Overpayment		х	O	Credit Deposit A/C #:				
	Duplicate Payment			9 () 1		0 4	6 7	
х	No Fee Due (Explanation):		L						
Consideration of the petition under 37 CFR 1.137(b) is unnecessary.									
11 REFUND REQUESTED BY:									
TYPED/PRINTED NAME Nancy Johnson				T	ITL	E:	Sr. Petiti	ons Attorney	
SIGNATURE: AMAGEMENT OF THE SIGNATURE SIGNATUR				P	PHON	E:	571-2	272-3219	
OFFICE: Office of Petitions									
THIS SPACE RESERVED FOR FINANCE USE ONLY:									
APPROVED: DATE:									

Instructions for completion of this form appear on the back. After completion, attach white and yellow copies to the official file and mail or hand-carry to:

Office of Finance Refund Branch Crystal Park One, Room 802B

PORM PTO 1577 (01/90)